Patent Attorney's Docket No. 027260-494 1 INTHE UNITED STATES PATENT AND TRADEMARK OFFICE ation of RADEM Tohru NAGASE et al. Group Art Unit: 3662 Application No.: 09/972,917 Examiner: Unassigned Filed: October 10, 2001 OPTICAL MODULE, OPTICAL For: TRANSMITTER AND OPTICAL RECEIVER RECEIVED INFORMATION DISCLOSURE STATEMENT JAN 1 6 2002 TRANSMITTAL LETTER GROUP 3600 Assistant Commissioner for Patents Washington, D.C. 20231 Sir: Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application. [X] No additional fee for submission of an IDS is required. The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed. [] 1 1 A certification under 37 C.F.R. § 1.97(e) is also enclosed. A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth [] in 37 C.F.R. § 1.17(p) are also enclosed. [] Charge \$_____ to Deposit Account No. 02-4800 for the fee due. A check in the amount of \$_____ is enclosed for the fee due. The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate. Respectfully submitted, BURNS, DOANE, SWECKER & MATHIS, L.L.P. P.O. Box 1404 By: Douglas H. Pearson

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Date: January 11, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Tohru NAGASE et al.) Group Art Unit: 3662
Application No.: 09/972,917	Examiner: Unassigned
Filed: October 10, 2001	RECEIVED
For: OPTICAL MODULE, OPTICAL TRANSMITTER AND OPTICAL ECEIVER	GROUP 3600
After a a constant	

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

JP 2000-138495; and

JP 9-115708.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

Information Disclosure Statement Application No. <u>09/972,917</u> Attorney's Docket No. <u>027260-494</u> Page 2

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By

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